



The promise and *reality* of Next-Generation Sequencing (NGS)-based testing for diagnosis of Infectious Diseases

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Disclosures

None

We do not endorse any commercial products discussed in the presentation

Learning Objectives

- Compare NGS-based testing to traditional nucleic acid amplification methods
- List advantages and limitations of NGS-based testing for diagnosis of infectious diseases
- Identify clinical scenarios in which NGS-based testing should be considered
- Identify strategies to improve appropriate use of NGS-based testing for infectious diseases

Outline

- Overview of classical infectious disease (ID) testing
- Evolution of sequencing
 - Sequencing technologies
 - Practical applications for ID
- Currently available NGS tests for ID
 - Clinical performance of metagenomic NGS (mNGS)
 - Clinical impact and utility of mNGS
 - Diagnostic utilization criteria for mNGS
- Summary
- Future prospects

Diagnostic techniques in the microbiology laboratory

Classical microbiology

Microscopic examination

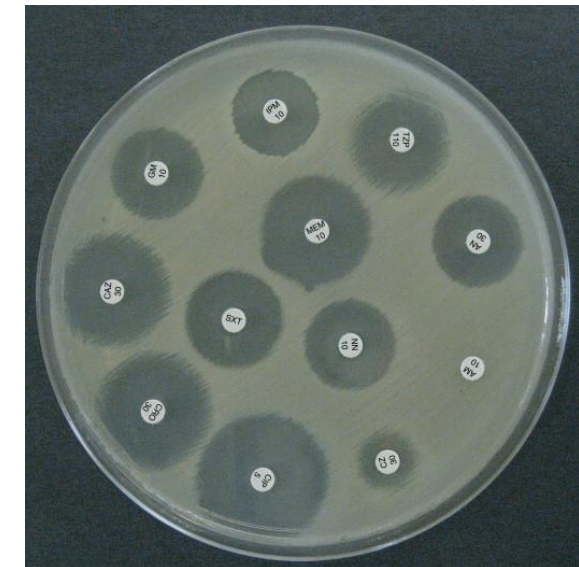
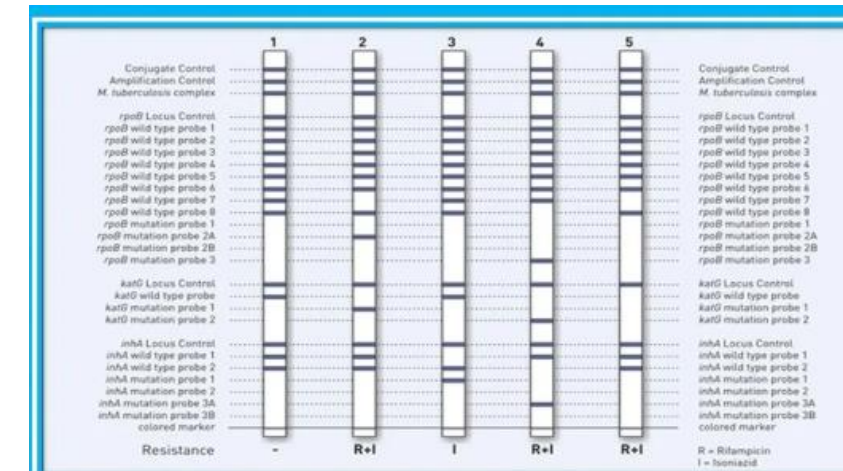
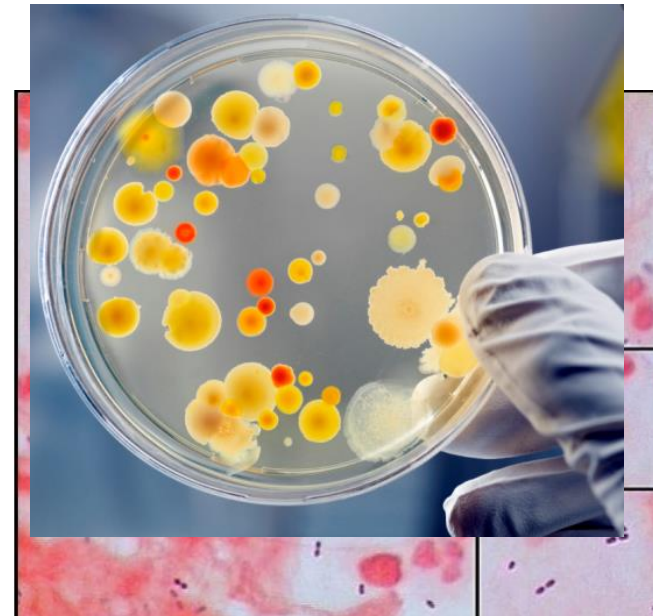
~1hr TAT

- Inflammation response
- Organisms
- Presumptive diagnosis

Cultivation and identification:

~2-14 days

- Enzymology, biochemistry or molecular method
- Antibiotic susceptibility testing
- Definitive diagnosis



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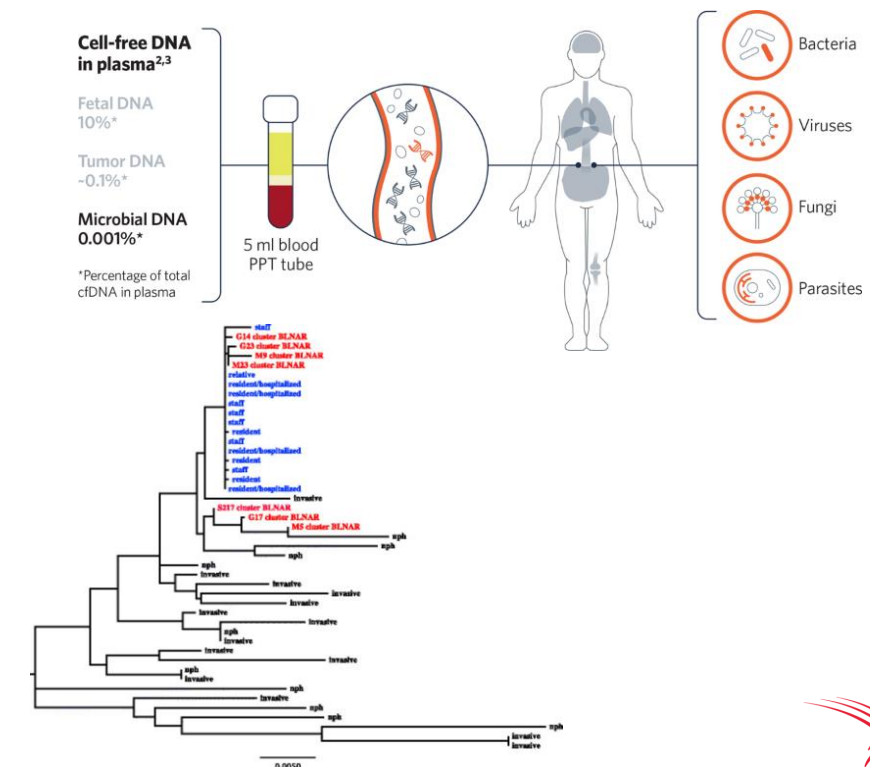
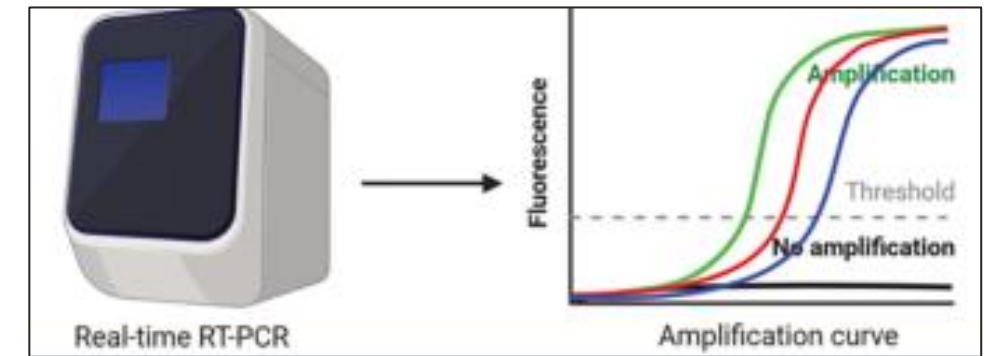
Molecular microbiology

Direct detect viral genome/genes ~1-3 day TAT

- DNA probes
- PCR
- DNA sequencing
- Definitive diagnosis

Epidemiology:

- Outbreak investigation
- Newly emerged pathogen



Diagnostic techniques in the microbiology laboratory

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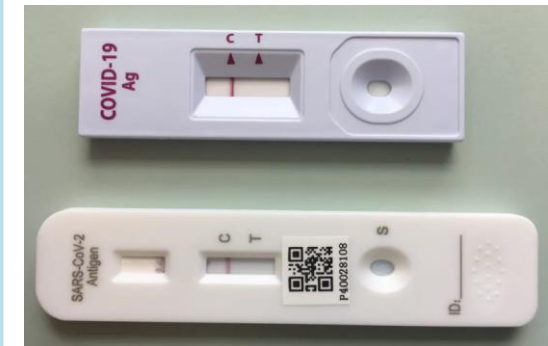
Epidemiology:

- Outbreak investigation
- Newly emerged pathogen

Immunoserology

Antigen tests ~ 1hr TAT

Antibody tests ~7 days



What is Sequencing?

The process of determining the number and order of nucleotides (adenine, guanine, cytosine, thymine) that make up a molecule of DNA

- Identify a microorganism
- Analyze genetic mutations within genomes: antimicrobial resistant marker, virulent factors
- Investigate an outbreak
- Understand host response

It starts with Sanger sequencing

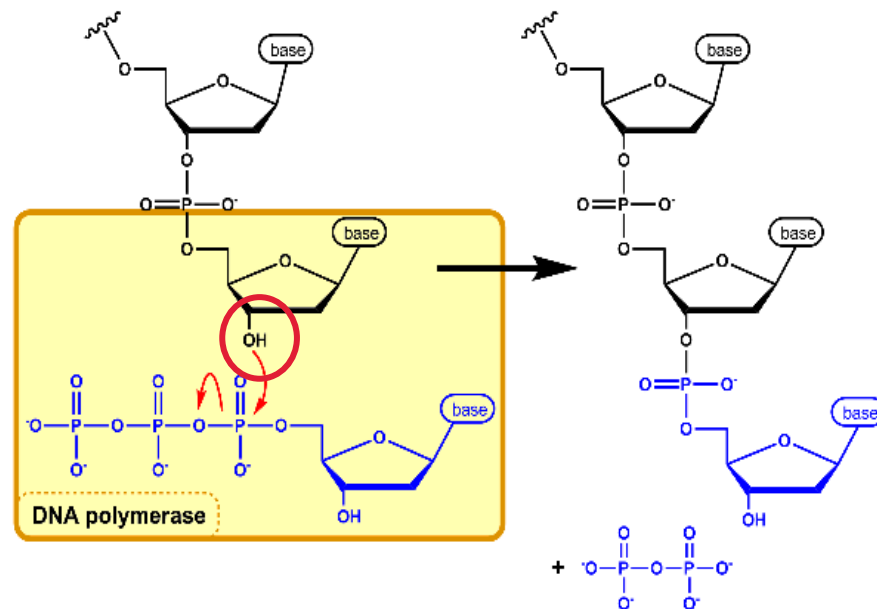
- **1953** Crick, Watson and Franklin discovered the structure of DNA
- **1977** Fredrick Sanger developed the first DNA sequencing method: chain termination method



Sanger sequencing dominates the field for three decades

Sanger sequencing: chain termination

DNA synthesis



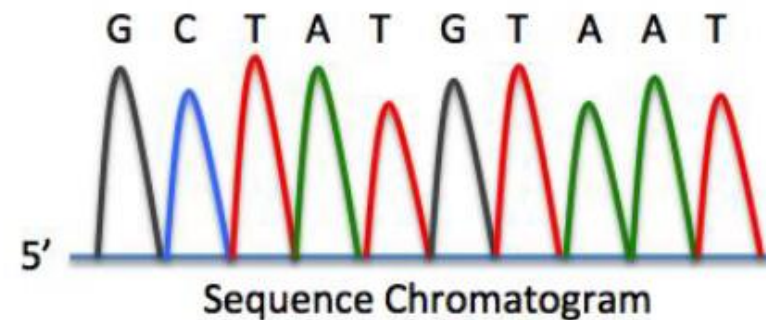
Template Sequence

3' GAGCAAATTCGATACATTATTGT... 5'

Primer

5' CTCGTTTAAG... 3'

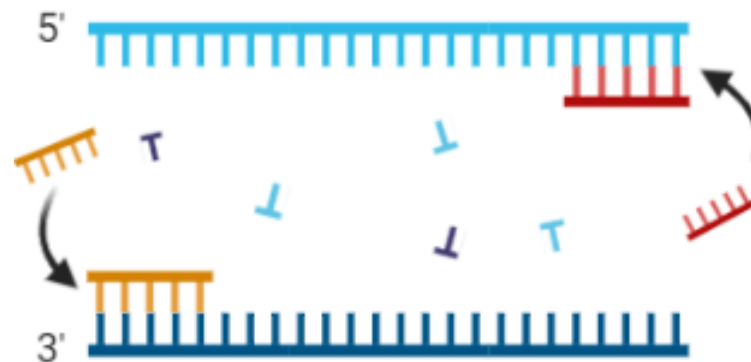
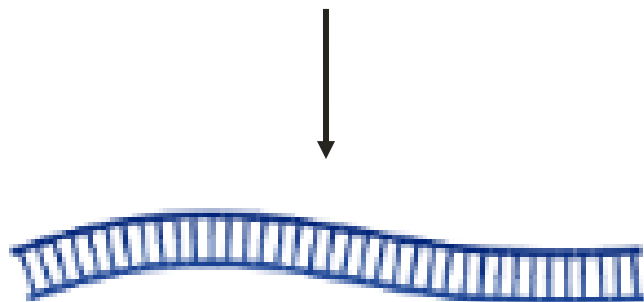
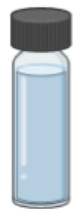
CTCGTTTAAGG — G
 CTCGTTTAAGGC — C
 CTCGTTTAAGGGT — T
 CTCGTTTAAGGGTA — A
 CTCGTTTAAGGGTAT — T
 CTCGTTTAAGGGTATG — G
 CTCGTTTAAGGGTATGT — T
 CTCGTTTAAGGGTATGTA — A
 CTCGTTTAAGGGTATGTAA — A
 CTCGTTTAAGGGTATGTAAT — T



- Modified DNA replication reaction
- Dideoxynucleoside triphosphates are fluorescently labelled and terminates DNA extension when incorporated
- Resulting DNA fragments are analyzed and sequences are determined by fluorescent signal
- Read-length: ~1000bp, highly accurate
- Poorly parallelizable

Application: Targeted sequencing

Virus Bacterium Fungus

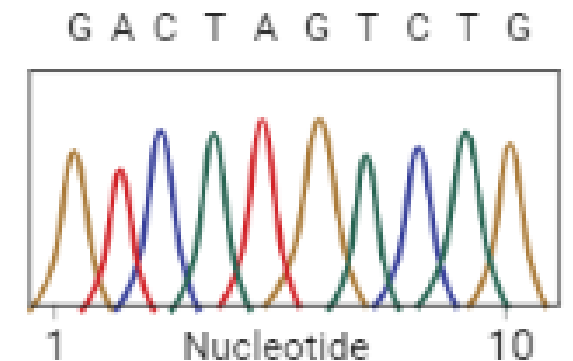
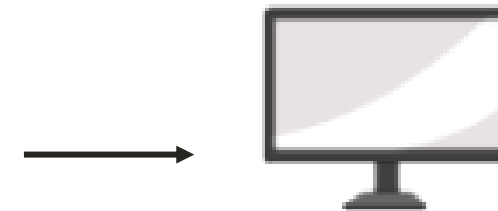


Strengths

- Lowest error rate
- Long read length (~1000bp)

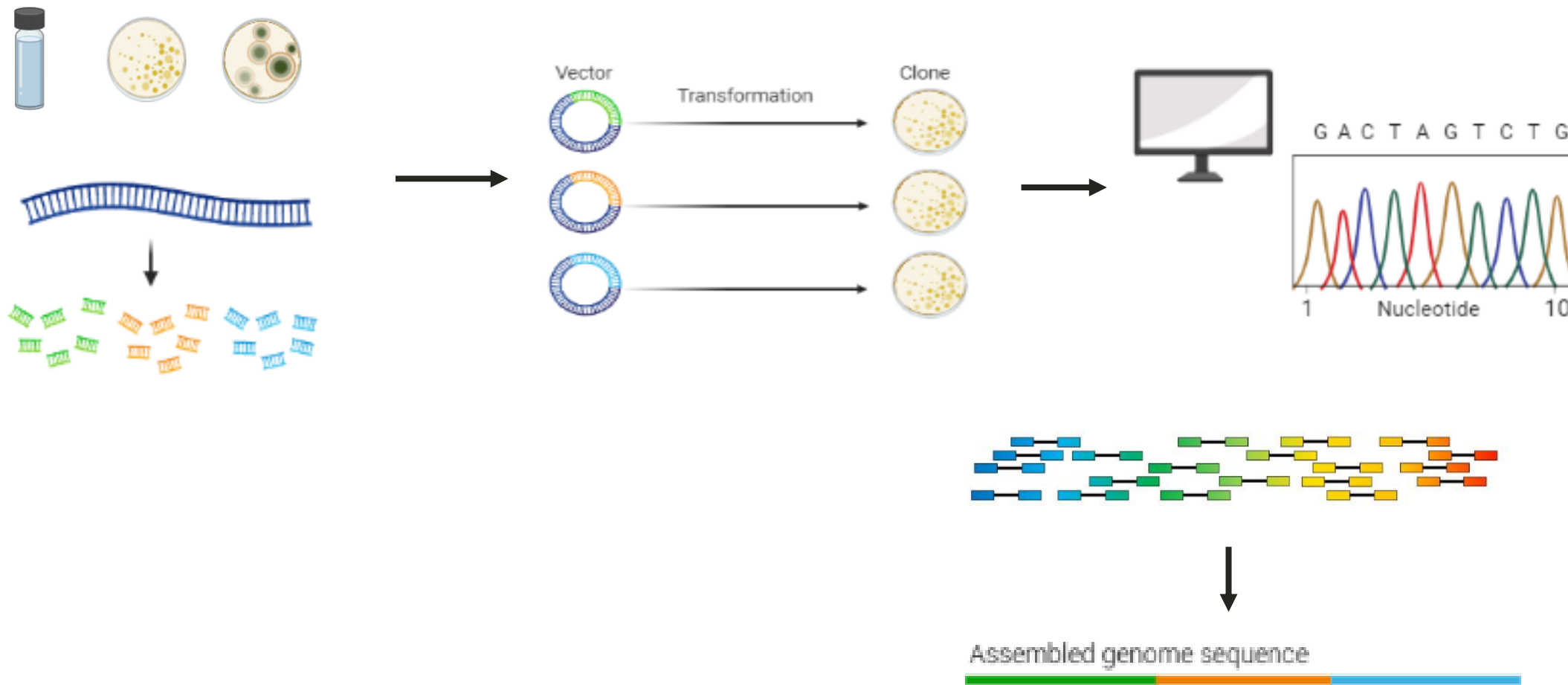
Limitations

- Long run time
- Can't resolve mixed detections



- 16S ribosomal RNA
- HIV polymerase gene

Application: Whole genome sequencing



Strengths

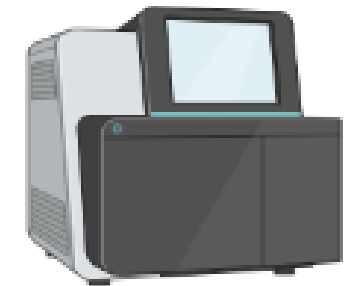
- Lowest error rate

Limitations

- Long run time
- Lower amount of data per run
- High cost per base (\$0.5 per kilobase)

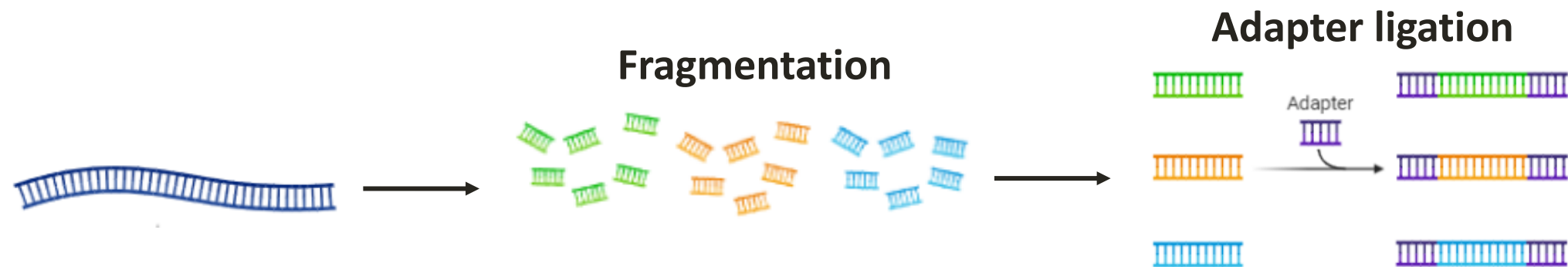
Next generation sequencing (NGS)

- **2005** The 454 system, first NGS platform to come to market
- **2007** Illumina acquired the company Solexa that developed sequencing by synthesis technology and graduate became the NGS platform market leader to this day
- **2007** SOLiD system introduces “sequencing by ligation” to the market
- **2011** Ion Torrent platform introduces “sequencing by synthesis” to the market

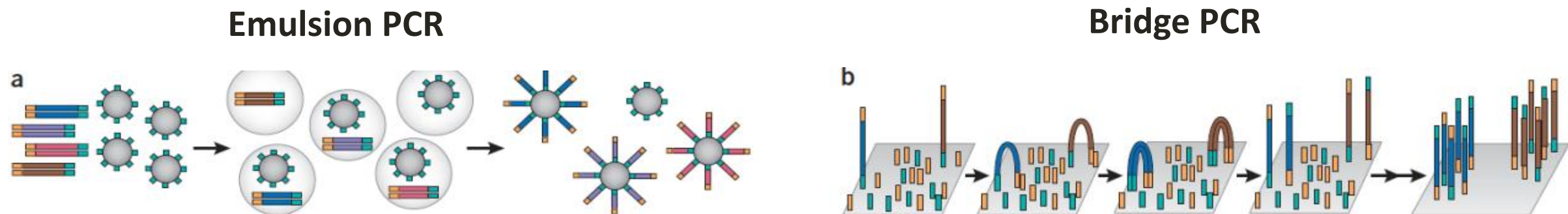


Next generation sequencing: massive parallel sequencing

1. Library preparation



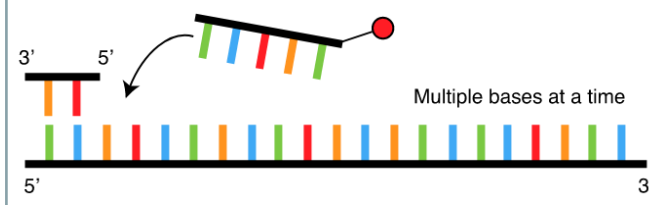
2. Clonal amplification



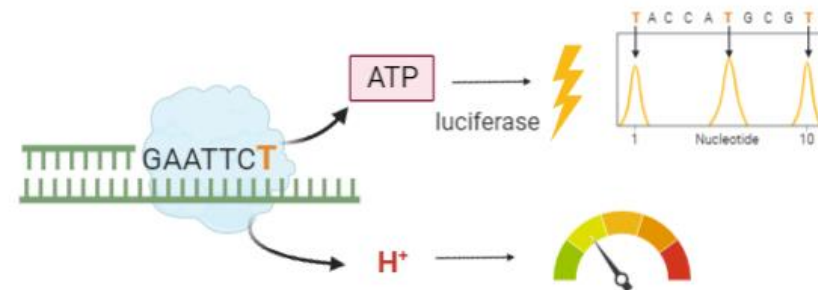
Next generation sequencing: massive parallel sequencing

3. Sequencing and data acquisition

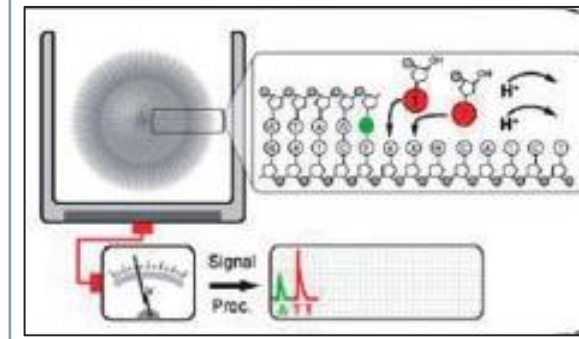
SOLiD platform:
Sequencing by ligation



The 454 system:
Pyrosequencing



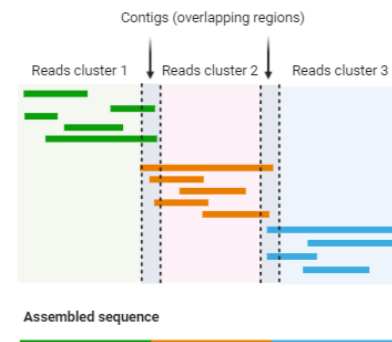
Ion Torrent:
Proton detection
sequencing



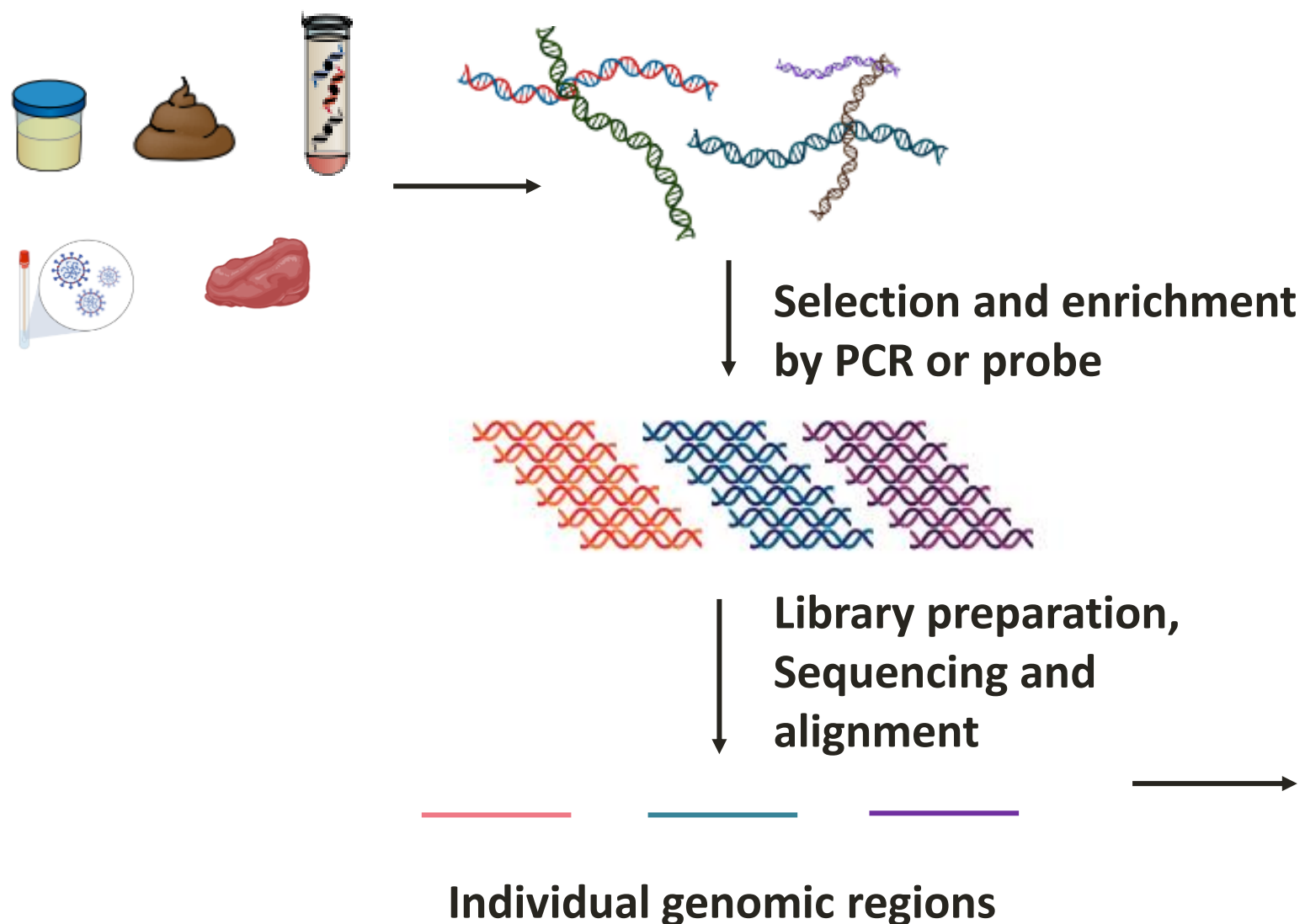
Illumina:
Reversible terminator
sequencing



4. Data analysis and assembly



Application: Targeted NGS (tNGS)



Strengths

- Direct from clinical specimen without requirement of isolation
- Can resolve mixed detections
- High sensitivity

Limitations

- Long run time
 - Relatively expensive
-
- Microorganism identification direct from clinical specimen
 - Detect viral resistance in clinical sample

Application: Whole genome NGS



Fragmentation



Sequencing and alignment



Individual genome

Strengths

- Relatively inexpensive (\$2-10 per megabase)
- Relatively quick TAT

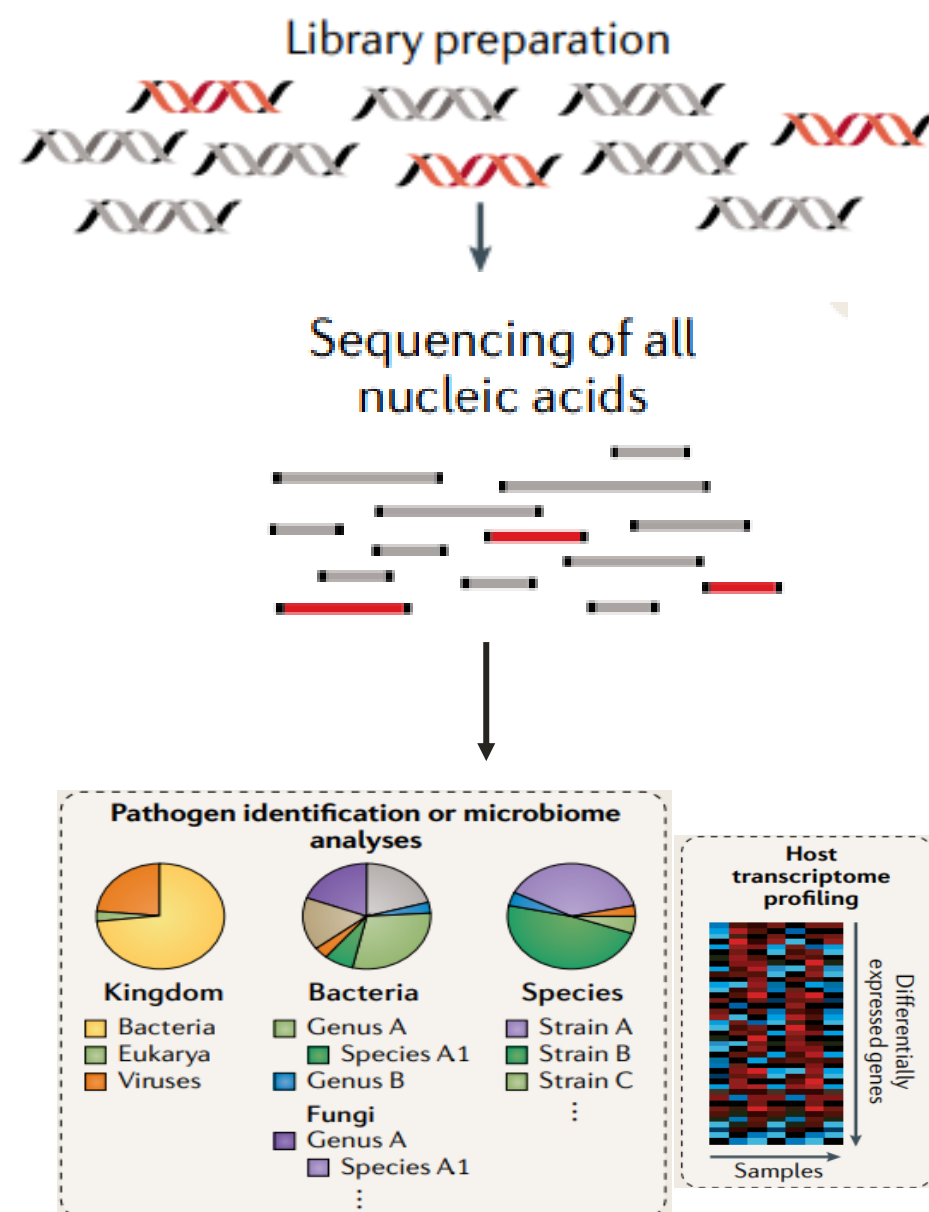
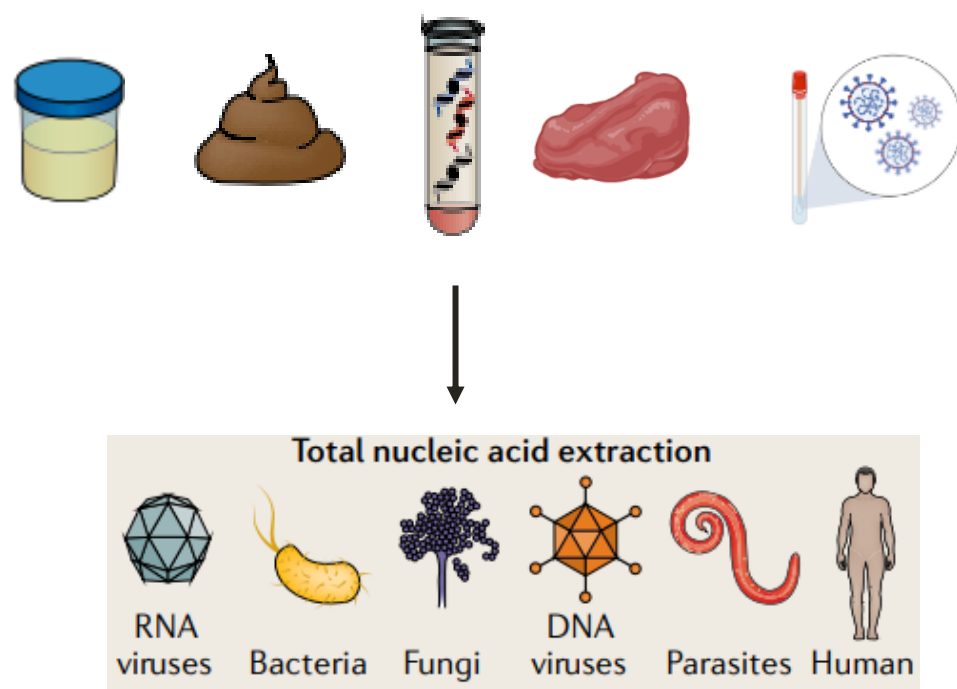
Limitations

- Uncultivable organism

- Novel microorganism identification
- Bacterial typing and viral typing for outbreak investigation
- Antimicrobial resistance and virulence gene

Application: Metagenomic NGS (mNGS)

One technology for all



Strengths

- Unbiased detection
- Detects rare pathogens
- Relatively quick turnaround

Limitations

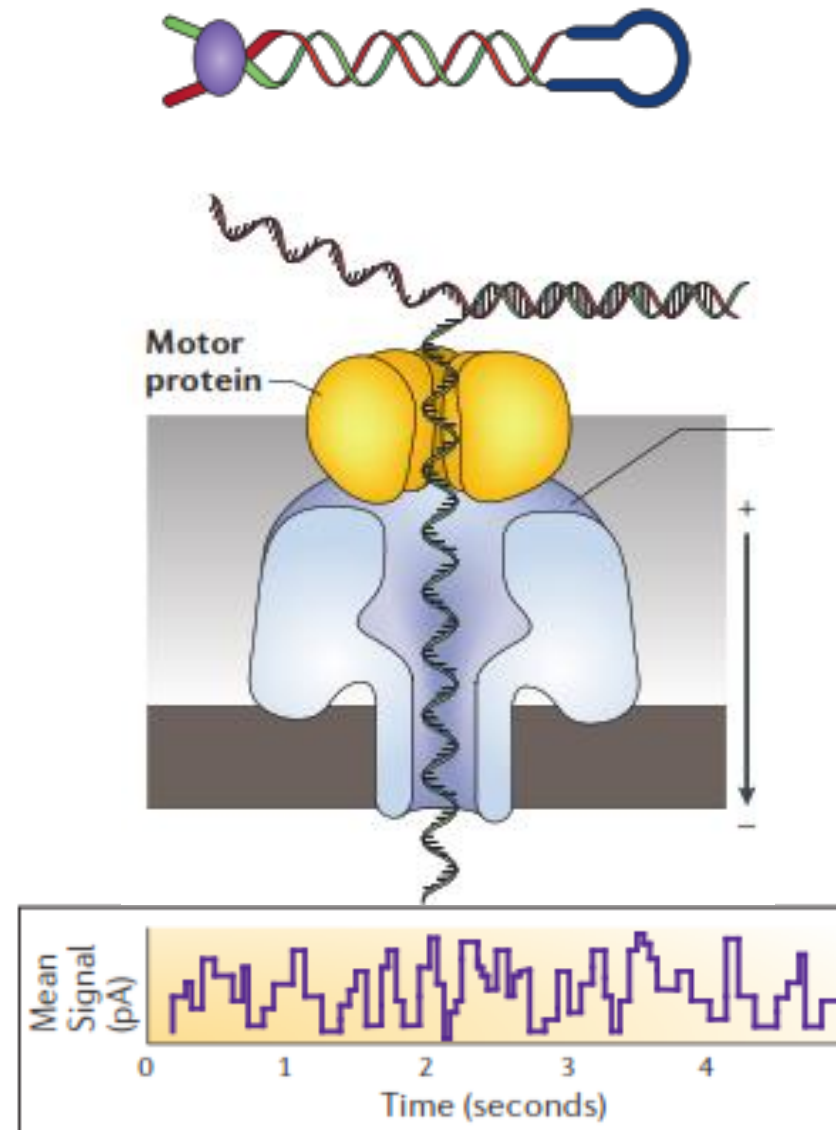
- Low sensitivity
- Complicated result interpretation

Third generation sequencing

- **2011** Pacific Biosciences introduces single molecular sequencing technology
- **2012** Oxford Nanopore technologies launches portable system for RNA and DNA sequencing



Single molecule sequencing



- Single molecules are sequenced. No requirement of DNA amplification
- Long reads: 10kb, allows for the resolution of large structural features
- Real-time base-calling and data assessment



Comparison of molecular infectious disease methods

	Real-time PCR	Sanger sequencing	tNGS	mNGS
Prior knowledge of the target	Yes	Yes* bacteria vs. fungus	Yes* bacteria vs. fungus	No

Comparison of molecular infectious disease methods

	Real-time PCR	Sanger sequencing	tNGS	mNGS
Prior knowledge of the target	Yes	Yes* bacteria vs. fungus	Yes* bacteria vs. fungus	No
Enrichment of the target	Yes	Yes	Yes	No

Comparison of molecular infectious disease methods

	Real-time PCR	Sanger sequencing	tNGS	mNGS
Prior knowledge of the target	Yes	Yes* bacteria vs. fungus	Yes* bacteria vs. fungus	No
Enrichment of the target	Yes	Yes	Yes	No
Availability Turnaround time	Most clinical labs <8h	Most clinical labs <8h	Large academic/Reference labs 1-7 days	Large academic/Reference labs 1-7 days

Comparison of molecular infectious disease methods

	Real-time PCR	Sanger sequencing	tNGS	mNGS
Prior knowledge of the target	Yes	Yes* bacteria vs. fungus	Yes* bacteria vs. fungus	No
Enrichment of the target	Yes	Yes	Yes	No
Availability Turnaround time	Most clinical labs <8h	Most clinical labs <8h	Large academic/Reference labs 1-7 days	Large academic/Reference labs 1-7 days
Advantage	<ul style="list-style-type: none"> • Quick TAT • High sensitivity 	<ul style="list-style-type: none"> • Low error rate • Long read 	<ul style="list-style-type: none"> • Highly sensitive • Detect a group of pathogen simultaneously 	<ul style="list-style-type: none"> • Unbiased pathogen detection
Example of clinical application	SA/MRSA PCR	16S rRNA sequencing of unknown isolate	Universal PCR from clinical sample	mNGS Pathogen detection from clinical sample

Available NGS tests for Infectious Disease

FDA-approved

- Sentosa SQ HIV Genotyping Assay
 - Targeted NGS technology to detect HIV drug resistance

Emergency Use Authorization (EUA)

- Clear DX SARS-CoV-2 Test
- Illumina COVIDSeq Test
- SARS-CoV-2 NGS Assay
- UCLA SwabSeq COVID-19 Diagnostic Platform
- Helix COVID-19 NGS Test

Available NGS tests for Infectious Disease

CLIA-certified lab offerings

	Test Name	Sample type	Targeted	Unbiased	Regulatory class
ARUP	Bacterial strain typing	Bacterial isolate		X	Diagnostic LDT
	HIV drug resistance	Blood- plasma	X		
Day Zero Diagnostics	epiXact strain typing	Bacterial isolate	X		Diagnostic LDT
Mayo Clinic Laboratory	Broad range bacterial sequencing	Normally sterile body fluid/tissue	X		Diagnostic LDT
	Bacterial strain typing	Bacterial isolate		X	
	CMV drug resistance	Blood-plasma	X		
	MTBC drug resistance	Bacterial isolate	X		
MicroGenDX	qPCR + NGS DNA ^{DX}	Varies	X		Diagnostic LDT
UW Medicine Molecular Microbiology	Broad range PCR + NGS (bacteria, fungi, AFB)	Tissue, non-blood body fluids	X		Diagnostic LDT
	Bacterial strain typing by WGS	Bacterial isolate		X	

Available NGS tests for Infectious Disease

CLIA-certified lab offerings

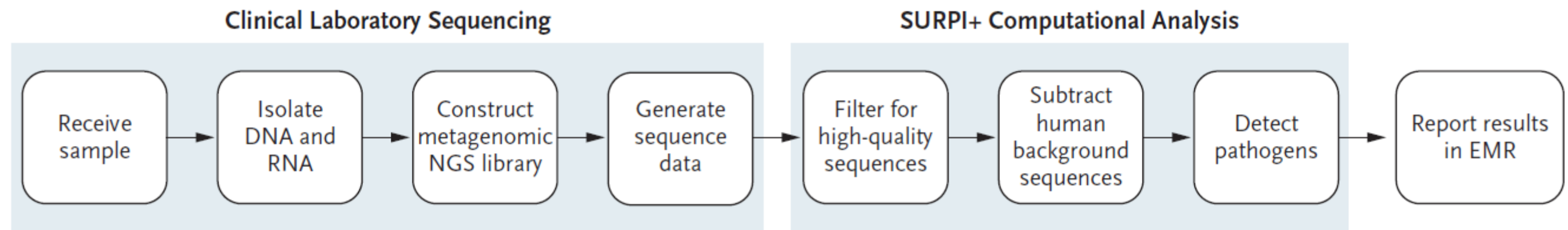
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	Bacterial strain typing	Bacterial isolate		X	
	CMV drug resistance	Blood-plasma	X		
	MTBC drug resistance	Bacterial isolate	X		
MicroGenDX	qPCR + NGS DNA ^{DX}	Varies	X		Diagnostic LDT
UW Medicine Molecular Microbiology	Broad range PCR + NGS (bacteria, fungi, AFB)	Tissue, non-blood body fluids	X		Diagnostic LDT
	Bacterial strain typing by WGS	Bacterial isolate		X	
UCSF	mNGS Pathogen Dx	CSF		X	Diagnostic LDT
Karius	The Karius Test	Blood- plasma		X	Diagnostic LDT

Performance of UCSF mNGS Pathogen Dx for diagnosis of infectious meningitis and encephalitis

Study Design

- Prospective, multi-center study investigating usefulness of mNGS of CSF for diagnosis of meningitis and encephalitis
- **Inclusion criteria:** idiopathic meningitis, encephalitis, or myelitis without diagnosis at enrollment
- **Reference:** composite reference standard of conventional testing and orthogonal confirmatory testing of mNGS positive only samples

C Protocol for Metagenomic NGS Assay



Characteristics of study patients

Table 1. Demographic and Clinical Characteristics of the 204 Patients.*

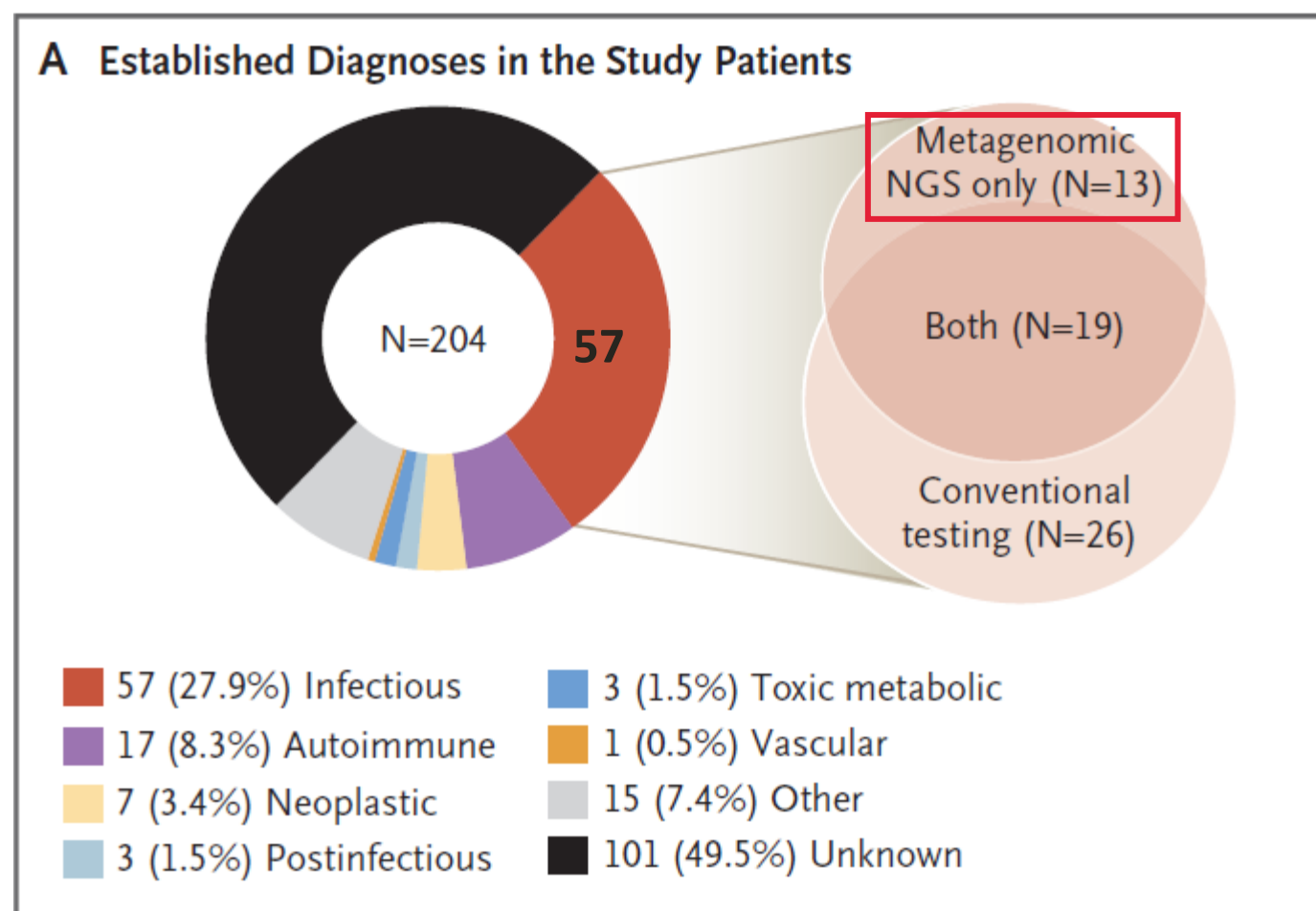
Characteristic	Value
Age	
Mean — yr	39.6
Distribution — no. (%)	
0–2 yr	5 (2.5)
3–12 yr	25 (12.3)
13–18 yr	16 (7.8)
19–25 yr	17 (8.3)
26–40 yr	40 (19.6)
41–60 yr	53 (26.0)
>60 yr	48 (23.5)
Male sex — no. (%)	114 (55.9)
Syndrome — no. (%)	
Meningitis alone	70 (34.3)
Encephalitis with or without meningitis	130 (63.7)
Myelitis with or without meningitis	4 (2.0)
Exacerbation of chronic condition — no. (%)†	28 (13.7)
Institution — no. (%)	
University of California, San Francisco	110 (53.9)
University of California, Los Angeles	36 (17.6)
University of California, Davis	31 (15.2)
Children’s Hospital Los Angeles	8 (3.9)
Zuckerberg San Francisco General Hospital	8 (3.9)
Children’s Hospital Colorado	6 (2.9)
St. Jude Children’s Research Hospital	3 (1.5)
Children’s National Medical Center	2 (1.0)

Immunocompromised — no. (%)	83 (40.7)
HIV-1	21 (10.3)
Solid-organ transplant	14 (6.9)
Bone marrow transplant	13 (6.4)
Chemotherapy	14 (6.9)
Immunosuppression for non-neoplastic condition	14 (6.9)
Congenital condition	3 (1.5)
Other	4 (2.0)
Existing CNS hardware — no. (%)‡	27 (13.2)
ICU admission — no. (%)	99 (48.5)
Death within 30 days — no. (%)	23 (11.3)
Mean Karnofsky performance-status score at time of discharge§	64.6
Mean length of stay (range) — days	
In hospital	27.9 (1–246)
In ICU¶	17.8 (1–71)
Percentage of hospitalization time spent in ICU¶	32.2
Median no. of days after hospital admission that CSF was collected for metagenomic NGS (range) — days	3.0 (0–219)



mNGS detections in confirmed CNS infections

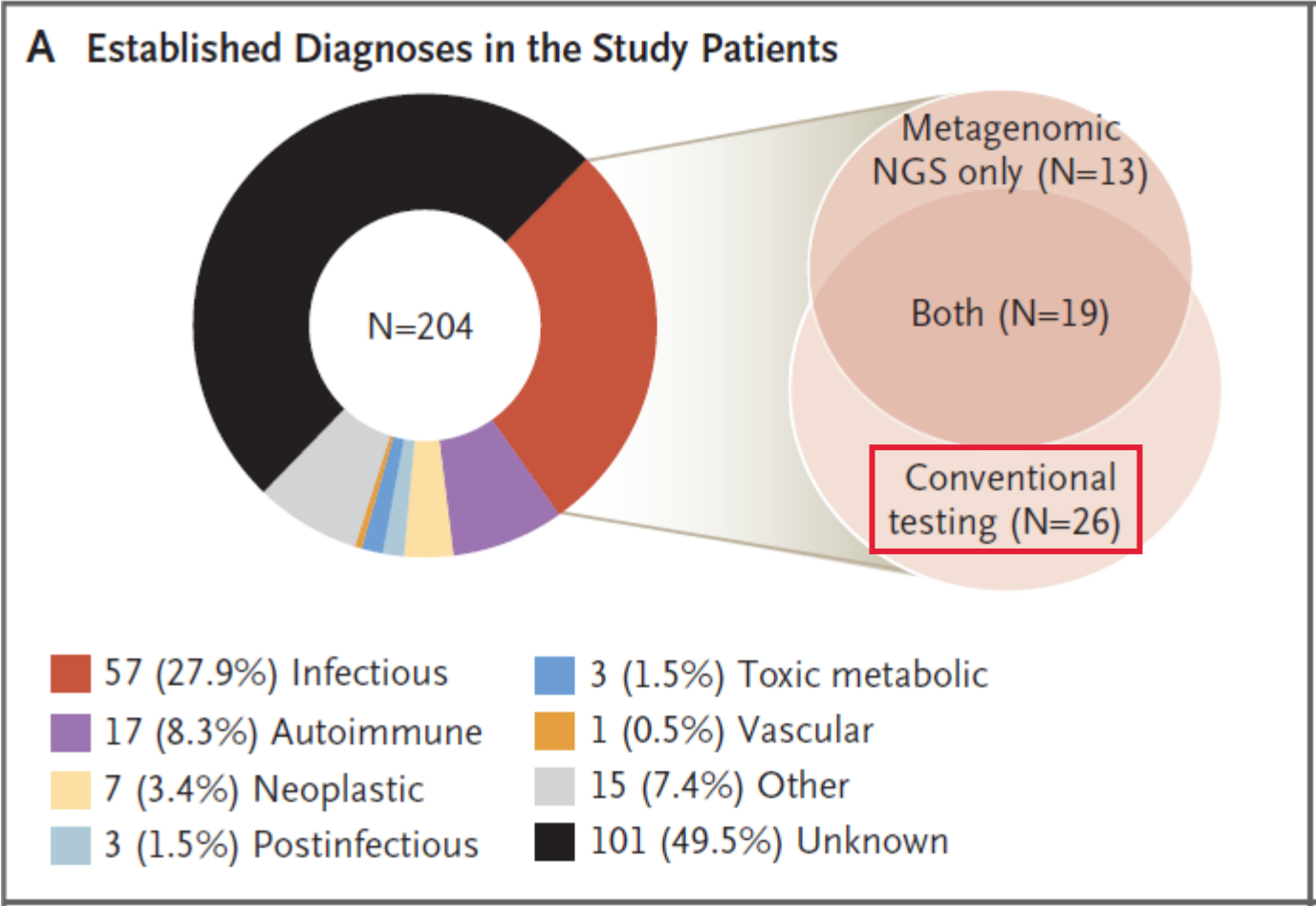
mNGS only detections—22.8%, 13/57



- *Candida tropicalis*
- EBV
- Echovirus 6
- Echovirus 30
- *Enterovirus aerogenes*
- *Enterococcus faecalis*
- Hepatitis E Virus
- MW polyomavirus
- *Neisseria meningitidis*
- *Nocardia farcinica*
- Saint Louis Encephalitis Virus
- *Streptococcus agalactiae*
- *Streptococcus mitis*

mNGS missed CNS infections

mNGS missed detections—45.6%, 26/57



Serology

- *Baylisascaris procyonis*
- Dengue virus
- *Treponema pallidum* (x2)
- WNV (x4)
- VZV (x3)

Non-CSF sample

- *Aspergillus* sp.
- *Bacillus cereus*
- *Fusobacterium* sp.
- *Mucor* sp.
- Polymicrobial empyema

Low-level pathogen

- CMV
- *Cryptococcus neoformans*
- *Fusobacterium* sp.
- HSV-2
- *Mycobacterium bovis*
- *Mycobacterium tuberculosis*
- *Cutibacterium acnes*
- *Staphylococcus aureus*

Strengths and Limitations of mNGS of CSF for diagnosis of meningitis and encephalitis

Strengths

- High specificity of CSF mNGS detections
- Identifies organisms not previously considered

Limitations

- CSF mNGS does not replace conventional testing
 - Infections normally detected by serology often missed by mNGS (WNV, VZV, neurosyphilis)
- High levels of host DNA in CSF can interfere with mNGS pathogen detection
- Low-levels of pathogen can reduce sensitivity of CSF mNGS

Analytical and clinical validation of a microbial **cell-free DNA** sequencing test for infectious disease

Cell-free DNA sequencing

- Fragments of genomic DNA from pathogens causing infections at various locations can be detected in purified plasma cell free DNA (cfDNA)
- Promise of non-invasive sampling for detection of deep-seated infections within rapid TAT, even with pre-treatment
- mNGS facilitates detection of >1,000 pathogens

The Karius test workflow



Clinical validation of a microbial cell-free DNA sequencing (Karius) test for infectious disease

Study Design

- Prospective clinical trial to determine etiology of sepsis using infectious disease diagnostic sequencing assay
- **Inclusion criteria:** Adult patients, presenting to Stanford University Hospital Emergency Department with 2/4 sepsis criteria
- **Reference:** 1) initial blood culture 2) all microbiological testing 3) composite reference standard with clinical adjudication of Karius pathogen only
- **Primary outcome measure:** Accuracy of sequencing assay in diagnosing etiology of sepsis within 7 days

Characteristics of study patients

Characteristic	Data (N=350)
Age, median (range), years	54 (18-97)
Sex, n (%)	
Male	179 (51.1)
Female	171 (48.9)
Race, n (%)	
White	197 (56.3)
Asian	74 (21.1)
Black or African American	15 (4.3)
Native Hawaiian or other Pacific Islander	7 (2)
American Indian or Alaskan Native	1 (0.3)
Not reported	55 (15.7)
Medical Comorbidities, n (%)	
≥ 1 concurrent chronic medical condition	227 (64.9)
Hypertension	97 (27.7)
Diabetes mellitus	61 (17.4)
Chronic heart disease	54 (15.4)
Hyperlipidemia	53 (15.1)
Length of Hospital Stay	
Mean length of stay in days, n (range)	4.7 (1-117)
Median length of stay in days, n (IQR)	3 (1-5)
Hospitalization Survival Status, n (%)	
Discharged	346 (98.9)
Died	4 (1.1)
Antimicrobial treatment ¹ within 2 weeks of sepsis alert	97 (27.7)

Clinical performance of the Karius test

Compared to blood culture—PPA: 93.7% (84.5-98.2), NPA: 40% (34.3- 45.9)

	Blood culture positive	Blood culture negative
Karius positive	59	171
Karius negative	4	114

Compared to all microbiology testing (SOC)—PPA: 84.8% (77.6-90.5), NPA: 48.2% (44.3-55.0)

	SOC positive	SOC negative
Karius positive	112	112
Karius negative	20	104

Compared to composite reference standard (CRS) —PPA: 92.9% (88.1-96.1), NPA: 62.7% (54.8-70.0)

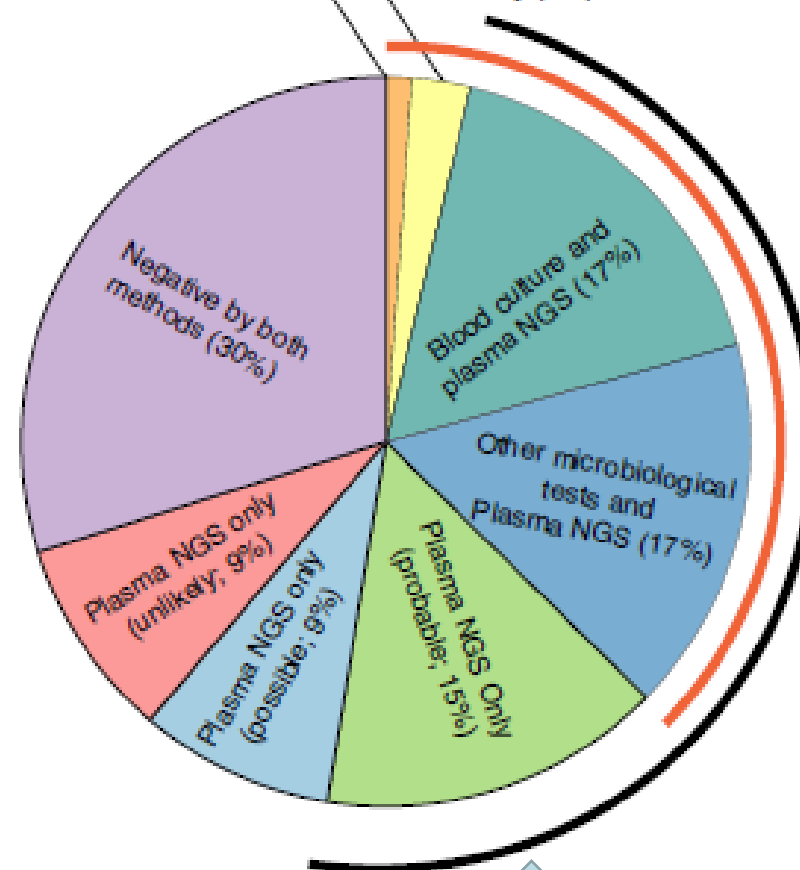
	CRS positive	CRS negative
Karius positive	169	62
Karius negative	13	104

Clinical performance of the Karius test

False negative Karius

a

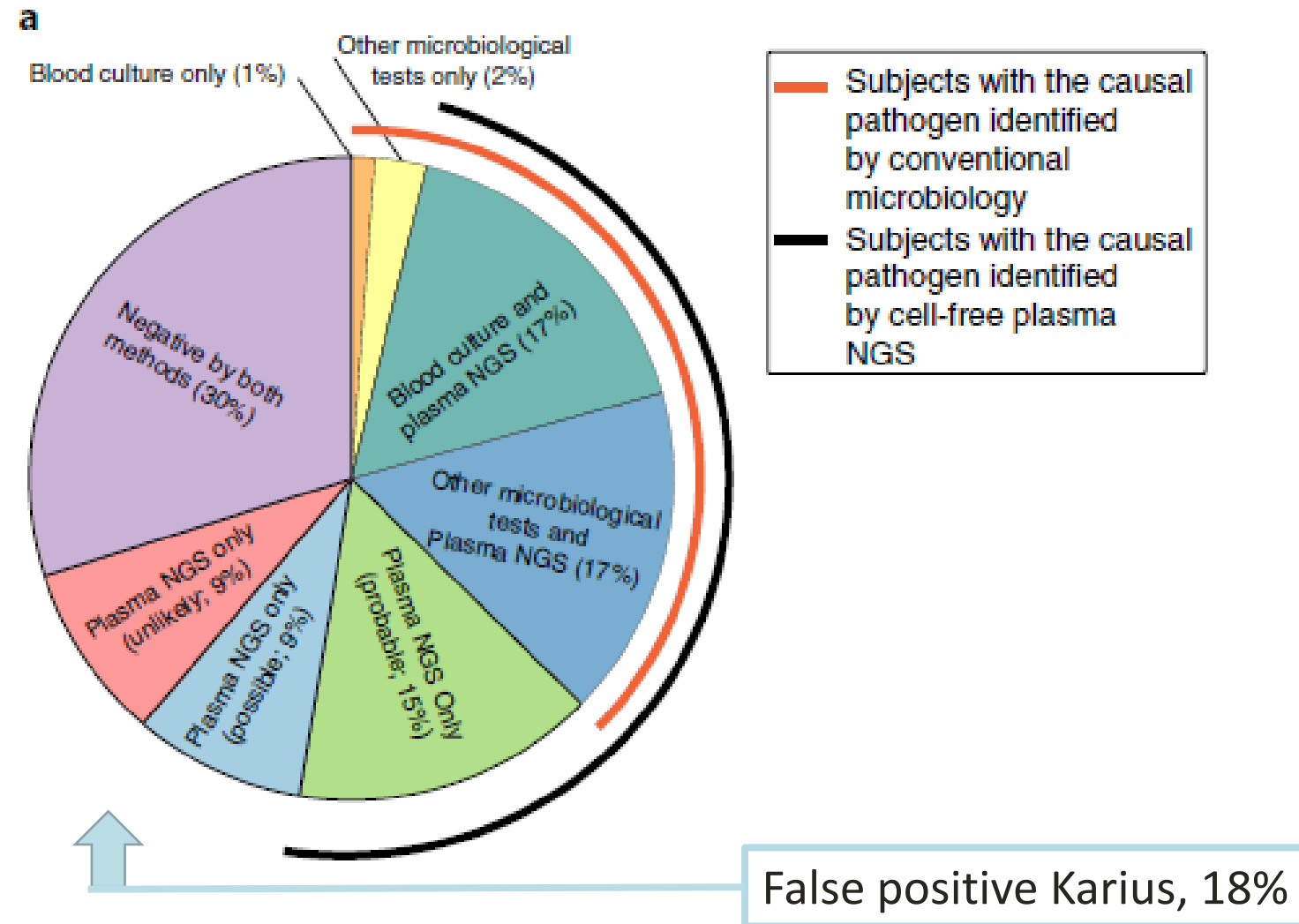
Blood culture only (1%)
Other microbiological tests only (2%)



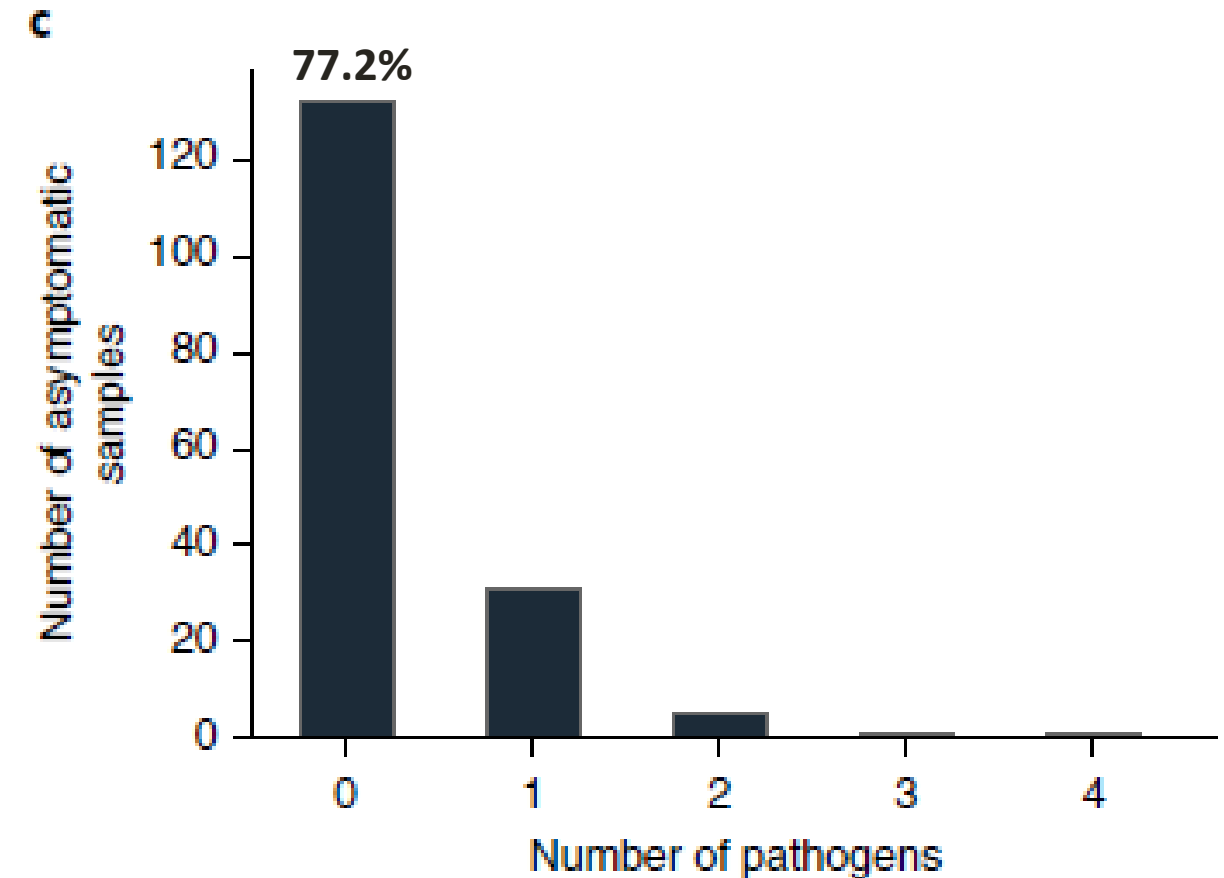
— Subjects with the causal pathogen identified by conventional microbiology
— Subjects with the causal pathogen identified by cell-free plasma NGS

Adjudicated True positive

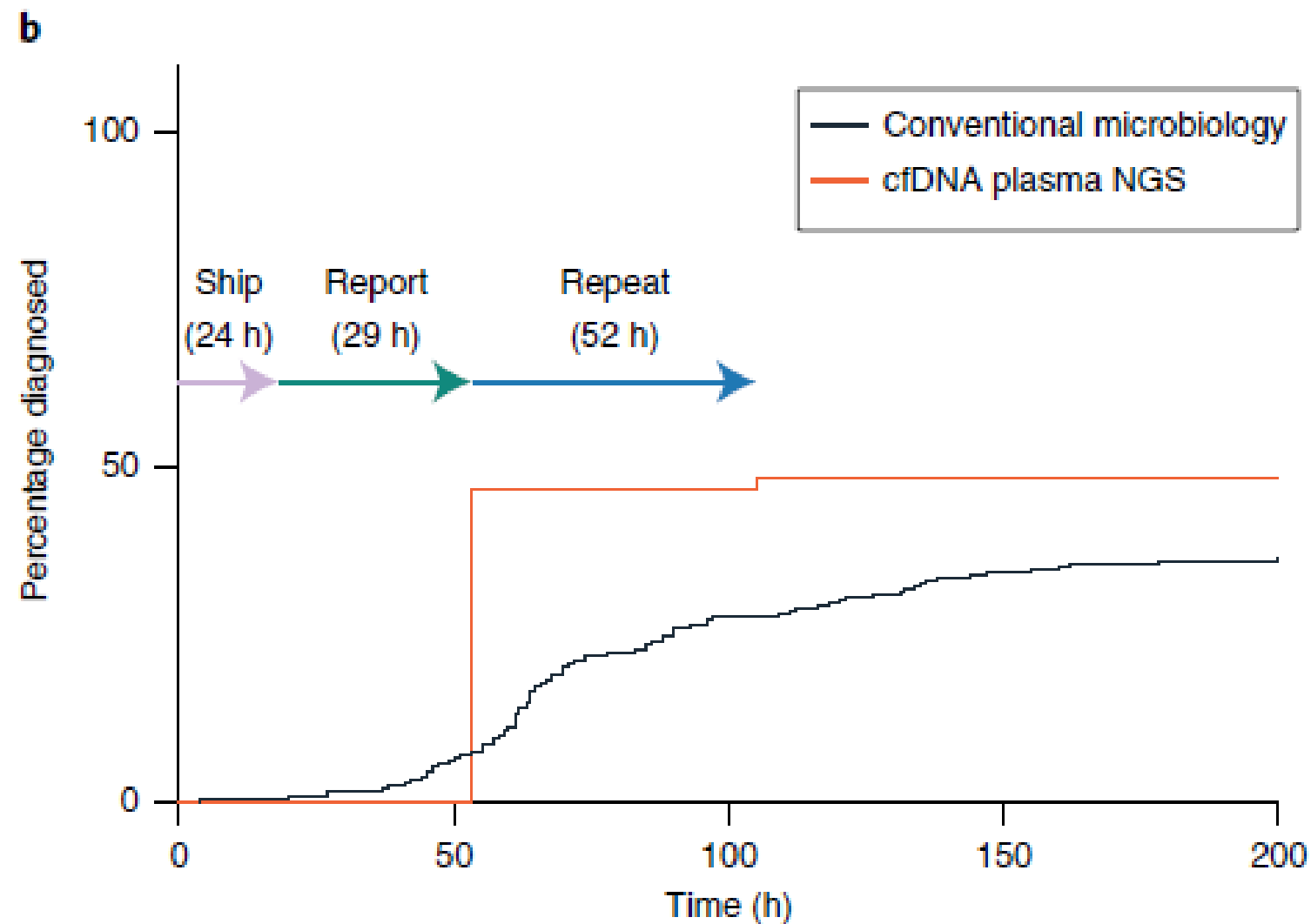
Clinical performance of the Karius test



22.8% Karius detection in asymptomatic donors



Karius test results are available within 3 days



Strengths and Limitations of the Karius test

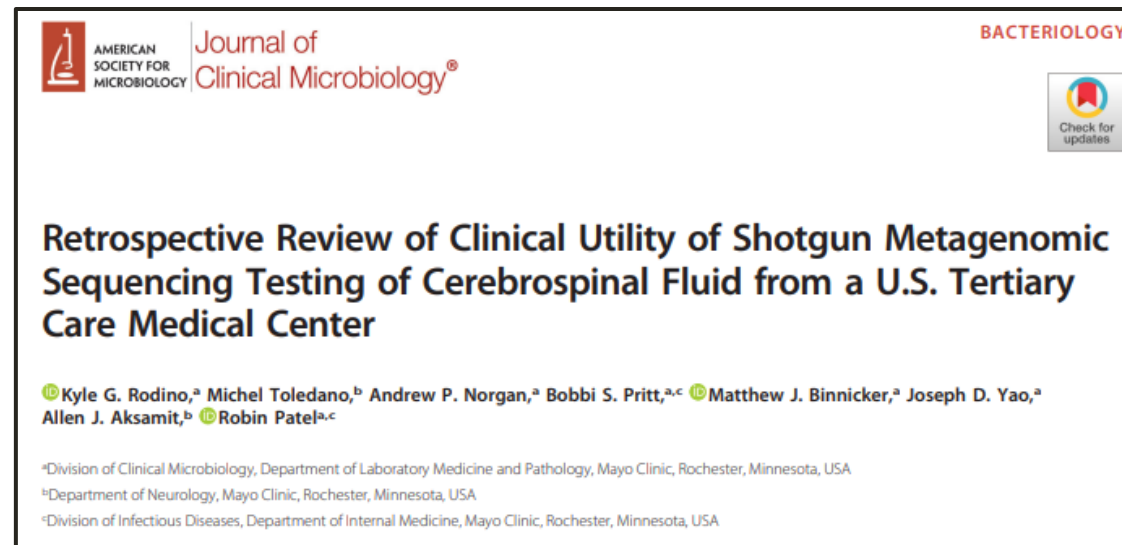
Strengths

- Rapid turnaround time
- High concordance with initial blood culture results

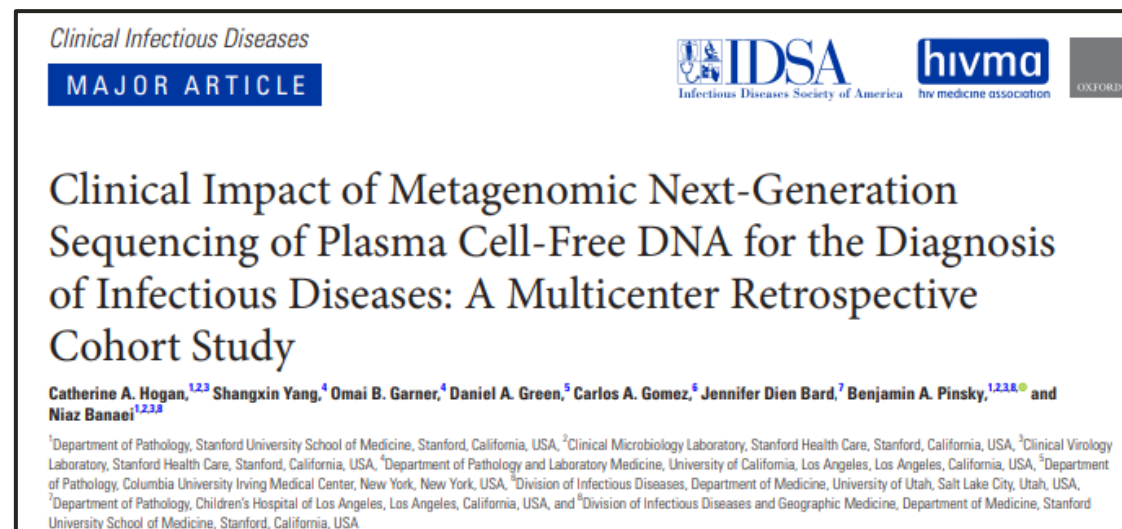
Limitations

- Low specificity (Karius only detections)
- Multiple detections can confound interpretation
- Susceptibility information not provided

Clinical impact and utility of mNGS in routine practice

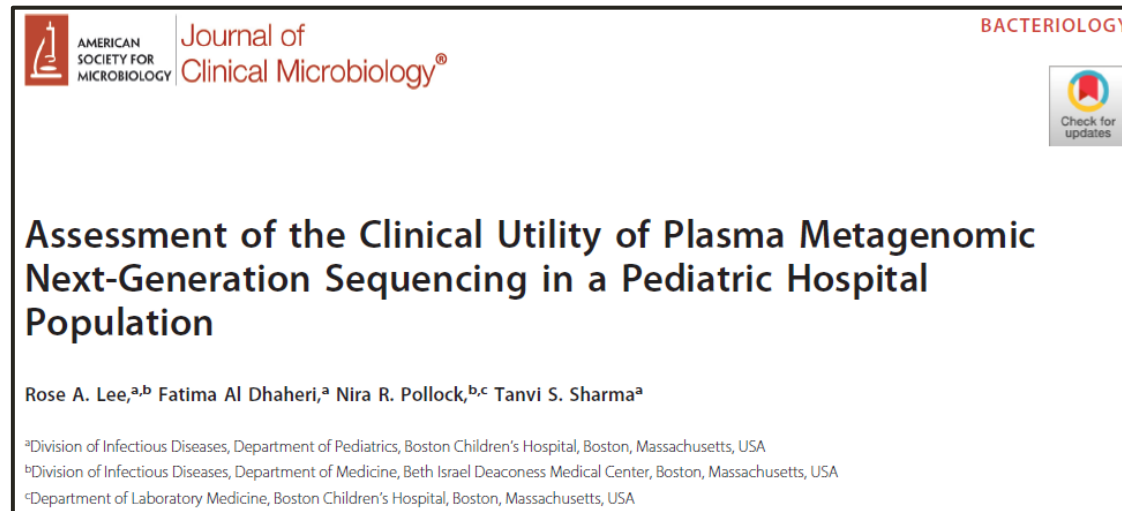


- Single center retrospective review of 80 cases submitted for CSF mNGS
 - 15% (12/80) positive result rate
 - 58% (7/12) interpreted as inconsistent with clinical presentation
 - 4% (2/53) altered patient management

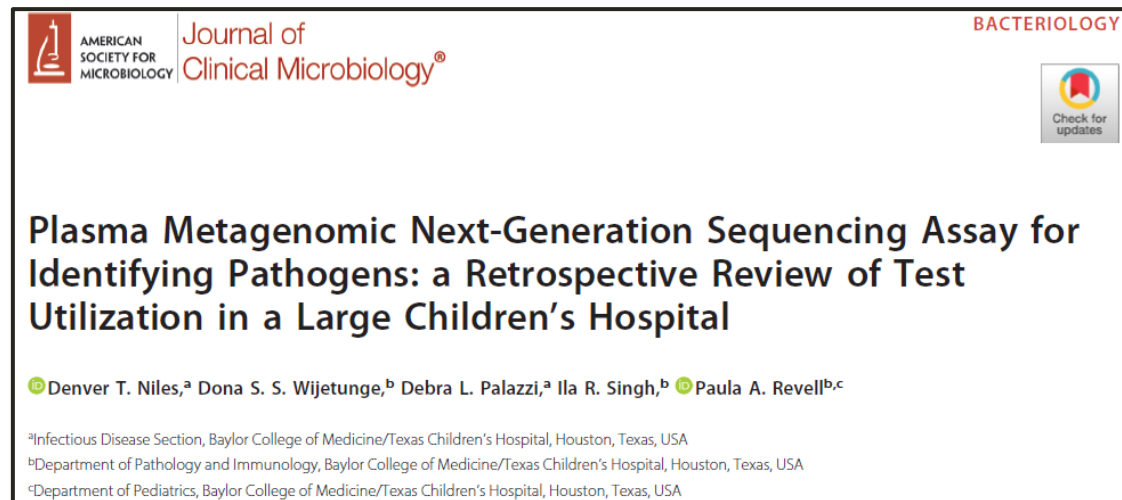


- Multicenter retrospective review of the clinical impact of 82 consecutive cases submitted for plasma cell-free mNGS (Karius)
 - 61% (50/82) positive result rate
 - 7.3% (6/82) positive clinical impact
 - 3.7% (3/82) negative clinical impact
 - 32.9% (27/82) diagnosis pre-established from conventional testing

Clinical impact and utility of Karius in **pediatric patients**



- Single-center retrospective review of 59 cases submitted for Karius
 - 49% (29/59) positive result rate
 - 55% (28/51) clinically-relevant organisms
 - 14% impacted clinical management
 - **50% true negative agreement**



- Single-center retrospective review of 60 cases submitted for Karius
 - 63% (38/60) positive result rate
 - 26% (6/23) change in antimicrobial therapy
 - **73% of cases with positive agreement reported conventional testing earlier than Karius**

Should everyone get mNGS sequencing?

NO!

Which patients benefit most from testing?

- Confirmed infectious process
- Previously negative SOC testing
 - Pre-treatment with antimicrobials
 - Deep-seated, difficult to sample infections
- Immunocompromised with high risk of infection

If mNGS is indicated:

- Also consider targeted NGS at affected sites
- Interpret results with caution!

Recommendations for test utilization

- Appropriate use criteria are actively being evaluated
- Restricted access to test ordering
 - Require Infectious Diseases consult/approval
 - Microbiology lab director approval
- Interpretation with experts
 - NGS review boards
 - Multidisciplinary team
 - Infectious disease consultants
 - Microbiology lab directors
 - Testing lab

In summary

- NGS technology:
 - Sequencing continues to rapidly evolve
 - More accurate, affordable and timely
- Advantages of Infectious Diseases NGS Dx:
 - Does not require prior suspicion
 - Identify pathogens not detected by routine testing
 - Generate large scale data in shorter turn around time
- Limitations of Infectious Diseases NGS Dx:
 - Not a standalone test
 - False positive detections of unclear significance
 - Still a reference lab test- requires specialized equipment and expertise, relatively expensive

The future of NGS for infectious disease

- As technology improves, cost and time for NGS analysis will continue to decline
- More NGS based testing in molecular microbiology
 - Only available large academic medical centers
 - Combined computer science and microbiology expertise
- Pathway to FDA-clearance/approval
- Studies establishing best practices for interpretation and utilization

Contains Nonbinding Recommendations

Draft - Not for Implementation

Infectious Disease Next Generation Sequencing Based Diagnostic Devices: Microbial Identification and Detection of Antimicrobial Resistance and Virulence Markers

Draft Guidance for Industry and Food and Drug Administration Staff

DRAFT GUIDANCE

This draft guidance document is being distributed for comment purposes only.

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You should submit comments and suggestions regarding this draft document within 90 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <http://www.regulations.gov>. Submit written comments to the Division of Dockets Management (HFA-305), Food and Drug Administration, 5630 Fishers Lane, rm. 1061, Rockville, MD 20852. Identify all comments with the docket number listed in the notice of availability that publishes in the *Federal Register*.

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<https://www.fda.gov/regulatory-information/search-fda-guidance-documents/infectious-disease-next-generation-sequencing-based-diagnostic-devices-microbial-identification-and>

Thank you
